# Evolutionary Implications for Sustainability Science

A Research Agenda

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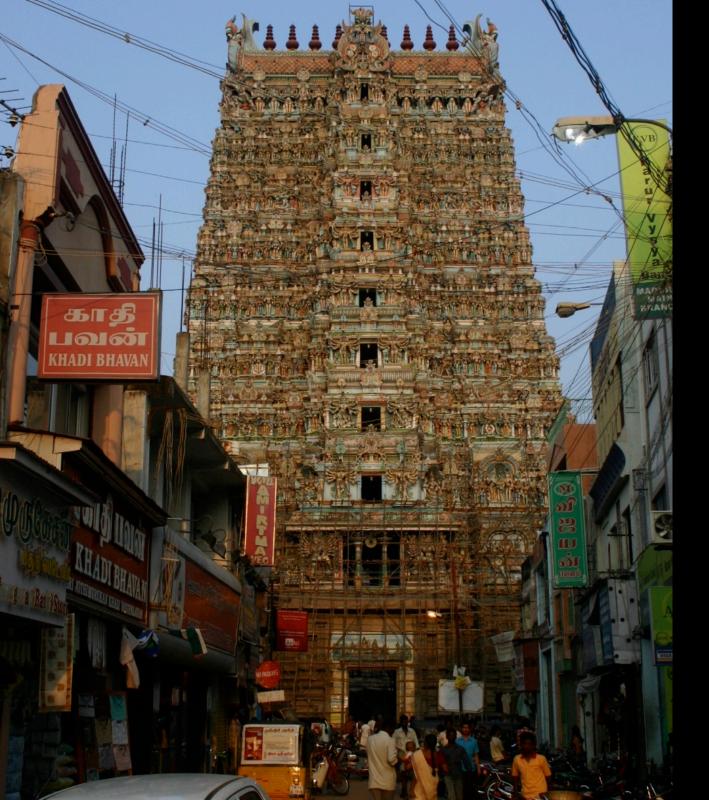


## Outline

- What I do
- Sustainability Theory
- Evolutionary Insights
- Current Work

# What I (try to) Do

- Interdisciplinary Social Science (human ecology)
- Evolution of culture and cooperation (export)
- Field research, ethnography (mixed methods)
- Behavioral experiments
- Simulation modeling
- Application & outreach!



# India













#### Culture → Cooperation → Outcomes

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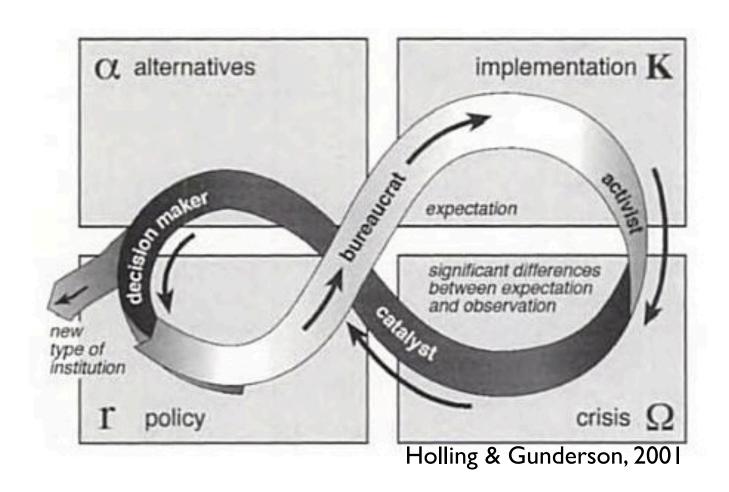
# Sustainability Theory

"we need to understand at a <u>more generalizable level</u> which features of coupled human-environment systems enhance and which constrain <u>their adaptability</u>" (Levin & Clark 2010).

#### Current frameworks

- Resilience (Folke et al. 2002)
- Vulnerability (Turner et al. 2003)
- Coupled Human-Natural Systems (Liu et al. 2007)
- Social-Ecological Systems (Ostrom 2009)

### Panarchy & Adaptive Cycle



When do heuristics take the place of testable theory?

# Disciplinary Social Science Theory

- → Anthropology
- → Psychology
- → Economics
  - → Gap: Quantitative models of the endogenous processes of cultural & social change.

# What Sustainability Theory Needs

→ generalizability

transposable

→ culture & cooperation endogenous social change

→ predictive capacity

dynamic

→ descriptive

non-normative

→ interdisciplinary

open

 $\rightarrow$  applicable

interventions

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# Evolutionary Implications

- I. humans evolve
- 2. culture evolves
- 3. cooperation evolves
- 4. groups evolve

#### 1. humans evolve

- Genetic constraints (drift, bottlenecks, pleiotropism)
- Design constraints (wheels, turbines)
- Vestigial characteristics (appendix)



Credit: Neanderthal Museum (Mettmann, Germany)



Credit: Kennis & Kennis: reconstruction (Photo, Joe McNally)

#### 1. humans evolve

- Risk averse
- Habit bound
- Cognitive limitations
- not perfect optimizers

#### I. humans evolve

- Penn, D. J. (2003). The evolutionary roots of our environmental problems: Toward a Darwinian ecology. The Quarterly Review of Biology, 78(3), 275–301.
- Beddoe, R., Costanza, R., Farley, J., Garza, E., Kent, J., Kubiszewski, I., Woodward, J. (2009). Overcoming systemic roadblocks to sustainability: The evolutionary redesign of worldviews, institutions, and technologies. PNAS, 106(8), 2483–2489.
- Ehrlich, P. R. (2009). Cultural evolution and the human predicament. Trends in Ecology
   & Evolution, 24(8), 409–412. doi:10.1016/j.tree.2009.03.015
- Kinzig, A. P., Ehrlich, P. R., Alston, L. J., Arrow, K., Barrett, S., Buchman, T. G., Saari, D. (2013). Social Norms and Global Environmental Challenges: The Complex Interaction of Behaviors, Values, and Policy. BioScience, 63(3), 164–175.
- Van Vugt, M., Griskevicius, V., & Schultz, P.W. (2014). Naturally Green: Harnessing Stone Age Psychological Biases to Foster Environmental Behavior. Social Issues and Policy Review, 8(1), 1–32.

#### 2. culture evolves

Dawkins, 1976 The Selfish Gene

Cavalli-Sforza & Feldman, 1981 Cultural Transmission and Evolution

Boyd & Richerson, 1985 *Culture and the Evolutionary Process* 







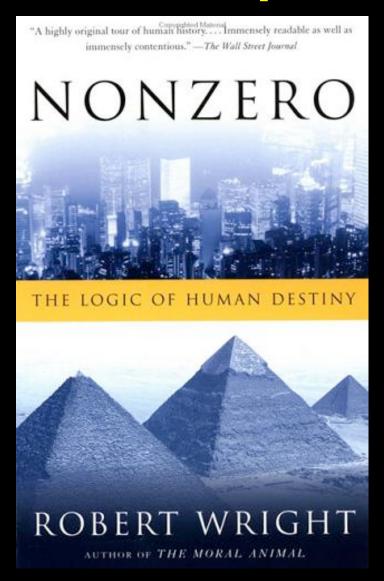


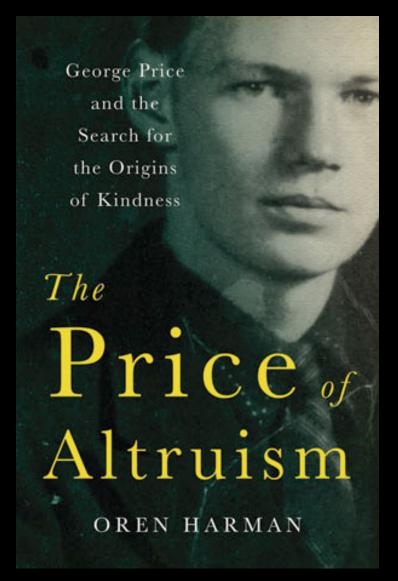






# 3. cooperation evolves





# 3. cooperation evolves

#### Kin Selection

Hamilton, 1963 The Evolution of Altruistic Behavior

#### Reciprocity

Trivers, 1971 The Evolution of Reciprocal Altruism

Boyd & Richerson, 1989, The Evolution of Indirect Reciprocity

# 3. cooperation evolves

#### **Group Selection**

Wilson, 1975 A Theory of Group Selection

Wilson & Sober, 1994. Reintroducing group selection to the human behavioral sciences.

Wilson & Wilson, 2007. Rethinking the Theoretical Foundation of Sociobiology

# 3. groups evolve



#### Human cooperation is group-centric

Apicella, Marlowe, Fowler & Christakis, (2012) Nature 481, 497–501.





Molly Hayden, U.S. Army Garrison Grafenwoehr Public Affairs <a href="http://www.army.mil/article/69655/">http://www.army.mil/article/69655/</a>

## Institutions Evolve

- Sethi, R., & Somanathan, E. (2000). The evolution of social norms in common property resource use. American Economic Review, 86, 766-788.s
- Ostrom, E. (2000). Collective action and the evolution of social norms. Journal of Economic Perspectives, 14, 137-158.
- Bowles, S., Choi, J.-K., Hopfensitz, A., 2003. The co-evolution of individual behaviours and social institutions. Journal of Theoretical Biology, 223, 135–147



Choi, Bowles, 2007. The coevolution of parochial altruism and war. Science 318, 636-640.



The Battle of Agincourt. <a href="http://www.britishbattles.com/100-years-war/agincourt.htm">http://www.britishbattles.com/100-years-war/agincourt.htm</a>

## Public Goods Experiments

- Gürerk, Irlenbusch, & Rockenbach (2006) subjects "voted with their feet" and migrated to punishment institution.
- <u>Puurtinen and Mappes</u> (2009) groups compete, winning group extracts earnings from losing group. Group competition enhanced cooperation.
- <u>Sääksvuori, Mappes, & Puurtinen</u> (2011) punishment produces higher individual and group payoffs during competition, but punishing groups had more equal payoff distribution.
- <u>Tan and Bolle</u> (2007) found that cooperation increased due to competition with and without incentives to win

→ Group-competition accelerates cooperation.

Can it drive more sustainable outcomes?

I. humans evolve

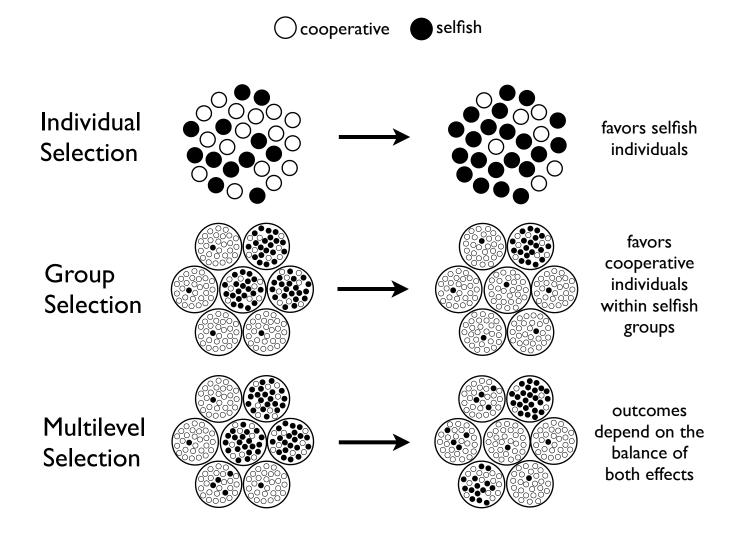
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framework?

## Multilevel Selection

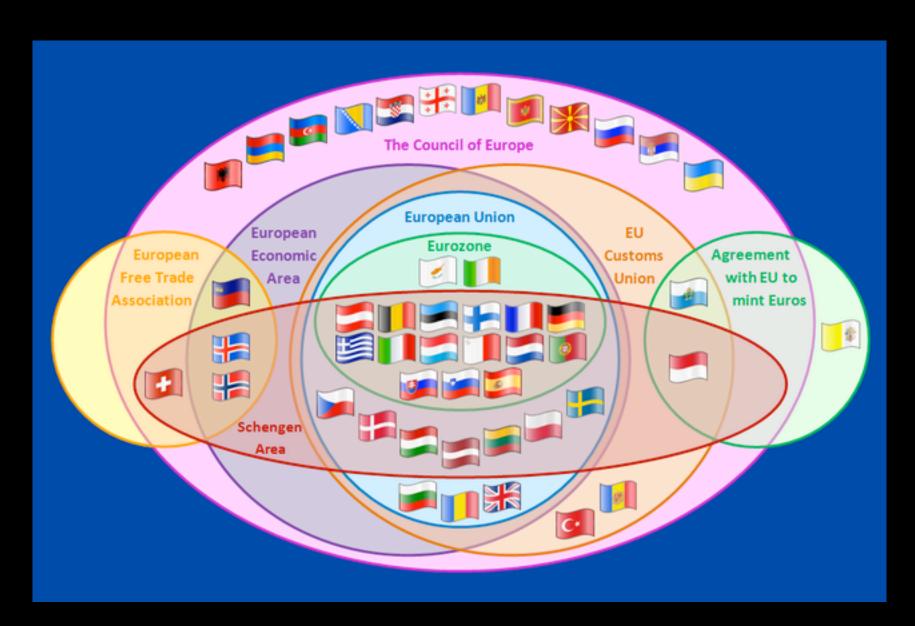


#### Levels of Selection Often Conflict

# Institutions evolve by multilevel selection

- Henrich, J., 2004. Cultural group selection. Co-evolutionary process and large-scale cooperation. J. Econ. Behav. Organ. 53, 85–88.
- Traulsen, A., Nowak, M.A., 2006. Evolution of cooperation by multilevel selection. PNAS 103, 10952–10955.
- Van den Bergh, J., & Gowdy, J. M. (2009). A group selection perspective on economic behavior, institutions and organizations.
   Journal of Economic Behavior and Organization, 72, 1-20.

# So what happens when...



# Evolutionary Sustainability Theory

-> culture & cooperation endogenous social change

→ generalizability transposable

→ predictive capacity? dynamic

→ descriptive non-normative

→ interdisciplinary? open

→ applicable? interventions?

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- Current Work



# A Cultural Multilevel Selection Framework for Sustainability Analysis

**Karolina Safarzynska**, Environment and Regional Development, Vienna Univ. of Economics and Business, Austria **Marco Janssen**, School of Human Evolution and Social Change, Arizona State Univ.

Jacopo Baggio, School of Human Evolution and Social Change, Arizona State Univ.

Jenna Bednar, Political Science, Univ. of Michigan

Sergey Gavrilets, Ecology & Evolutionary Biology and Mathematics, Univ. of Tennessee, Knoxville

Cristina Moya, Evolutionary Demography Group, London School of Hygiene & Tropical Medicine

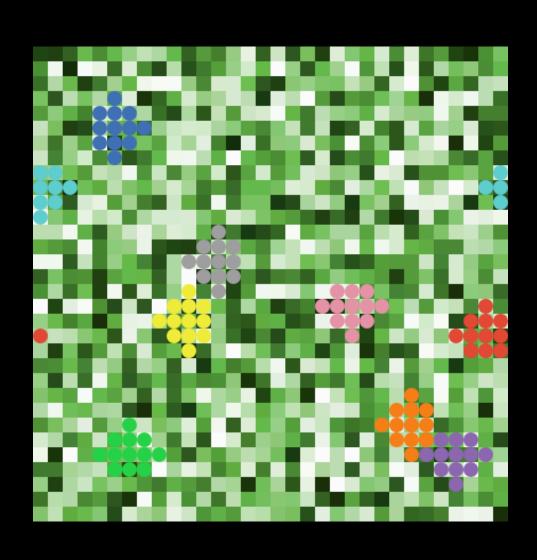
Karthik Panchanathan, Anthropology, Univ. of Missouri

Paul Smaldino, Center for Advanced Modeling, Johns Hopkins Univ.

Matthew Zimmerman, NIMBioS, Univ. of Tennessee, Knoxville

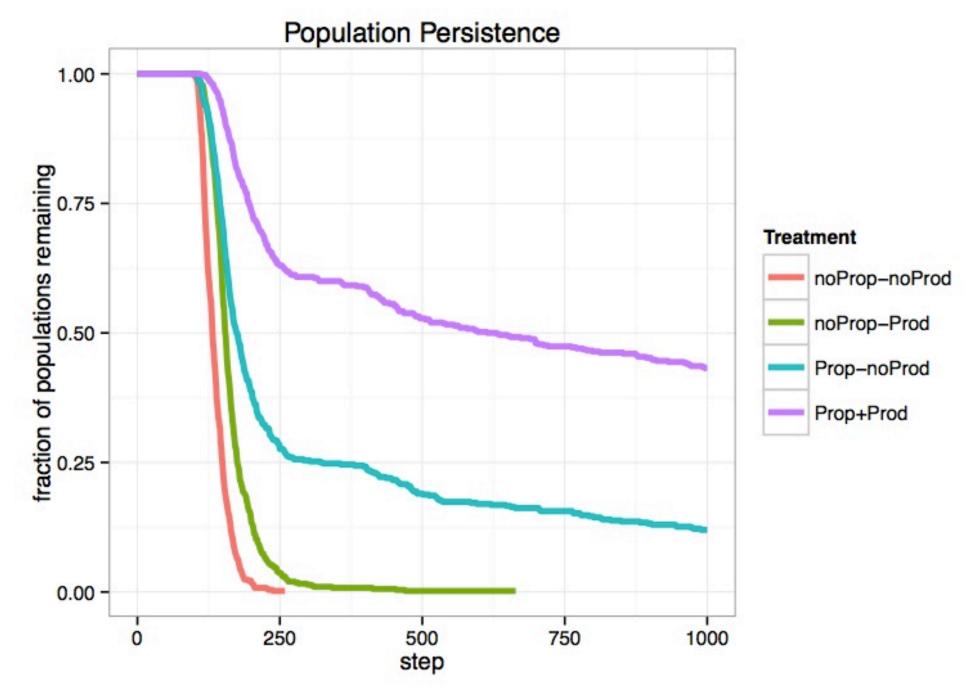
Vicken Hillis, Center for Environmental Policy and Behavior, Univ. of California, Davis

# Agent-Based Modeling



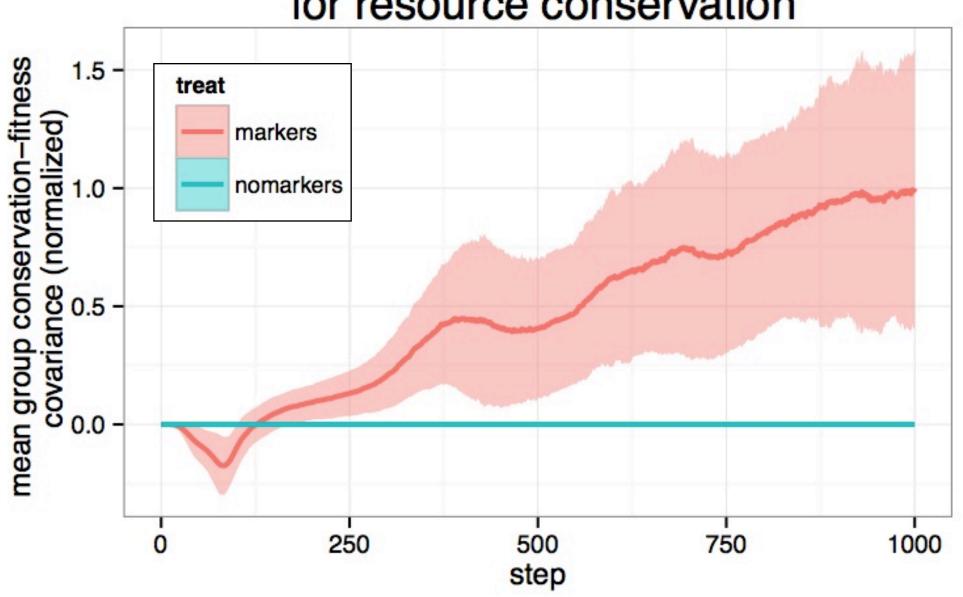
## Research Question

 Can cultural group selection accelerate the emergence of institutions of sustainable resource management?



Populations persist when the norms of property and production are allowed to evolve.

#### Group selection for resource conservation



## Summary

- Group level selection can drive the emergence of sustainable institutions (societies)
- 2. Population persistence varies with institutional adoption (none < production < property < both)

# CAREER: The Evolution of Local Food Organizations



Ostrom's Design Principles

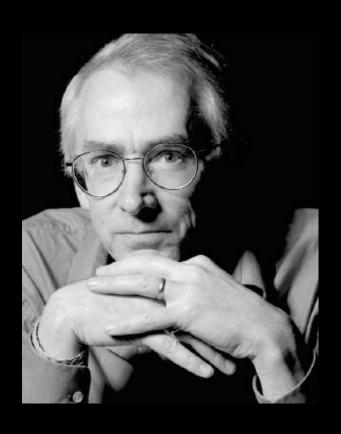
- . Clear social boundaries
- 2. Fair distribution of costs & benefits
- 3. Collective-choice
- 4. Monitoring
- 5. Graduated sanctions
- 6. Conflict resolution
- 7. Self determination
- 8. Nested governance

Ostrom, E. (1990). <u>Governing the Commons:</u>
<u>The Evolution of Institutions for Collective</u>
<u>Action</u>. Cambridge University Press.



Elinor Ostrom

Courtesy of Indiana University.



#### David Sloan Wilson

Binghamton University

### PROSOCIAL

designing groups for success

